PATENT APPLICATION Docket No: 13447.9.2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)
	Daniel E. Gerbec et al.)
Serial No:	10/651,138) Art Unit) 3732
Filed:	August 28, 2003	ý
Confirmation No.:	8182) RECEIVED) NOV. 0 4 2004
For:	METHODS FOR ADJUSTABLE BONE FUSION IMPLANTS) OFFICE OF PETITIONS
Examiner:	David A. Bonderer)

PETITION TO EXCUSE-ERROR IN SMALL ENTITY STATUS AND PAYMENT OF DEFICIENCY OWED PURSUANT TO 37 CFR § 1.28

10/27/2004 DALLEN 00000023:10651138 Commissioner for Patents PO Box 1450 72.00 OP Arlington, Virginia 22313-1450

Dear Sir:

The above-identified patent application was filed in the United States Patent and Trademark Office on August 28, 2003 with a claim to small entity status. Applicant respectfully submits that the small entity status was established in good faith and that the fees paid up through the present as a small entity were paid in good faith. It was recently discovered, however, that on June 18, 2004 small entity was lost. Accordingly, applicant hereby petitions that the error in claiming small entity status after June 18, 2004 be excused pursuant to 37 CFR § 1.28(c).

10651138 00000022 233178 10/27/2004 DALLEN 72.00 DA 01 FC:1461.

Set forth below is an itemization of the deficiency payment.

Type of Fee Paid	Current Fee for Non- Small Entity	Small Entity for Actually Paid	Difference
New claim fee	144	72	72
Total			72

In view of the foregoing, the total deficiency payment owed is \$72.00. Enclosed herewith please find Form PTO-2038 submitting the required payment of \$72.00. The Patent Office is hereby authorized to deduct any further required payment or to apply any overpayment to deposit account no. 23-3178. A duplicate copy of this letter is enclosed.

Finally, pursuant to 37 CFR § 1.28(c), this submission is also notification of loss of entitlement to small entity status.

Dated this 22nd day of October 2004.

RECEIVED

NOV. 0 4 2004

OFFICE OF PETITIONS

Respectfully submitted,

DANA L. TANGREN Attorney for Applicant Registration No. 37,246

Customer No. 022913

Telephone: (801) 533-9800 Fax: (801) 328-1707

DLT:dfw W:\13447\9.2\DFW0000012028V001.doc RICK D. NYDEGGER
BRENT P. LORIMER
THOMAS R. VUKSNICK
LARRY R. LAYCOCK
JONATHAN W. RICHAMS
DAVID R. WRIGHT
JOHN C. STRINGHAM

JOHN M. GUYNN CHARLES L. ROBERTS

Dana L. Tangren Eric L. Maschoff

CHARLES J. VEVERKA ROBYN L. PHILLIPS RICHARD C. GILMORE * STERLING A. BRENNAN

R. BURNS ISRAELSEN
DAVID R. TODD
GREGORY M. TAYLOR
DAVID B. DELLENBACH
L. DAVID GRIFFIN
ADRIAN J. LEE
FRASER D. ROY
CARL T. REED

R. Parrish Freeman, Jr. Peter F. Malen, Jr. L. Rex Sears, Ph.D. William R. Richter Eric M. Kamerath

ROBERT E. AYCOCK JENS C. JENKINS KEVIN W. STINGER

WILLIAM J. ATHAY

MICHAEL B. DODD RYAN D. BENSON

MATTHEW D. TODD

MATTHEW A. BARLOW WESLEY C. ROSANDER ANDREW S. HANSEN

CHAD E. NYDEGGER JOSEPH G. PIA

CLINTON E. DUKE

David J. Lorenz † Ryan N. Farr *

JAMES B. BELSHE

J. LaVar Oldham Michael J. Frodsham

JOSEPH L. KRUPA BRETT A. HANSEN BRETT I. JOHNSON

SARA D. JONES

Tige Keller Janna L. Jensen Workman | Nydegger

INTELLECTUAL PROPERTY ATTORNEYS

1000 Eagle Gate Tower 60 East South Temple Salt Lake City, Utah 84111 Telephone: (801) 533-9800

FAX: (801) 328-1707 WEBSITE: HTTP://www.wnlaw.com

Express Mailing Label No. EV 382 938 086 US

PATENT APPLICATION Docket No: 13447.9.2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)
	Daniel E. Gerbec et al.))
Serial No:	10/651,138) Art Unit
Filed:	August 28, 2003) 3732)
Confirmation No.:	8182	<i>)</i>)
For:	METHODS FOR ADJUSTABLE BONE FUSION IMPLANTS)))
Examiner:	David A. Bonderer	<i>)</i>)

TRANSMITTAL OF ISSUE FEE PAYMENT

RECEIVED

NOV. 0 4 2004

OFFICE OF PETITIONS

Mail Stop Issue Fee Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Notice of Allowance and Fee(s) Due dated September 24, 2004, please find enclosed herewith the following items for filing in the United States Patent and Trademark Office in connection with the above identified patent application:

X Fee(s) Transmittal (PTOL-85) submitted pursuant to 37 C.F.R. § 1.311.

10/27/2004 DALLEN

00000024 10651138

01 FC:1501 02 FC:1504 03 FC:9999 1370.00 pp A Supplemental Declaration executed by the inventor(s) is 300.00 pp submitted pursuant to 37 C.F.R. § 1.67.

X A Certificate of Express Mail under 37 C.F.R. § 1.10 is enclosed.

^{*} Admitted only in California

[†] Admitted only in New York

. —	Pursuant to the request for submission of formal drawings, enclosed are the following:	
	A Letter to the Official Draftsperson;	
	A set of () sheets of formal drawings to replace the corresponding drawings originally filed with the subject application.	
	A duplicate set of the () sheets of formal drawings with the changes therein highlighted in red.	
<u>X</u>	Petition to Excuse Error in Small Entity Status is enclosed.	
<u>X</u>	Form PTO-2038 submitting Credit Card Payment in the amount of \$72.00 is enclosed to cover the fee deficiency for the Petition to Excuse Error.	
<u>X</u>	Form PTO-2038 submitting Credit Card Payment in the amount of \$1,715.00 is enclosed to cover:	
	\underline{X} The \$1,370.00 government issue fee pursuant to 37 C.F.R. § 1.18.	
	\underline{X} The \$300.00 government publication fee pursuant to 37 C.F.R. § 1.18.(d)	
	\underline{X} The \$45.00 fee for fifteen (15) copies of the patent when issued.	
<u>X</u>	The Commission is hereby authorized to charge payment of any additional fees or credit any overpayment to Deposit Account No. 23-3178.	
<u>X</u>	A duplicate copy of this letter is enclosed.	
Please address all future correspondence in connection with the above-identified patent application to the attention of the undersigned.		

Dated this 22nd day of October 2004.

Respectfully submitted,

DANA L. TANGREN Attorney for Applicant Registration No. 37,246

Customer No. 022913

Telephone No. 801.533.9800

RICK D. NYDEGGER BRENT P. LORIMER THOMAS R. VUKSINICK LARRY R. LAYCOCK JONATHAN W. RICHARDS DAVID R. WRIGHT JOHN C. STRINGHAM JOHN M. GUYNN CHARLES L. ROBERTS DANA E. TANGREN ERIC L. MASCHOFF CHARLES J. VEVERKA ROBYN L. PHILLIPS RICHARD C. GILMORE * STERLING A. BRENNAN R. BURNS ISRAELSEN DAVID R. TODD GREGORY M. TAYLOR DAVID B. DELLENBACH L. DAVID GRIFFIN Adrian J. Lee FRASER D. ROY CARL T. REED



R. PARRISH FREEMAN, JR. PETER F. MALEN, JR. L. REX SEARS, PH.D. WILLIAM R. RICHTER ERIC M. KAMERATH ROBERT E. AYCOCK JENS C. JENKINS KEVIN W. STINGER WILLIAM J. ATHAY MICHAEL B. DODD RYAN D. BENSON SARA D. JONES TIGE KELLER Janna L. Jensen MATTHEW D. TODD I. LAVAR OLDHAM MICHAEL J. FRODSHAM JOSEPH L. KRUPA BRETT A. HANSEN BRETT I. JOHNSON MATTHEW A. BARLOW WESLEY C. ROSANDER Andrew S. Hansen CHAD E. NYDEGGER Joseph G. Pia CLINTON E. DUKE DAVID J. LORENZ † RYAN N. FARR * JAMES B. BELSHE

WORKMAN | NYDEGGER

INTELLECTUAL PROPERTY ATTORNEYS

1000 EAGLE GATE TOWER
60 EAST SOUTH TEMPLE
SALT LAKE CITY, UTAH 84111
TELEPHONE: (801) 533-9800
FAX: (801) 328-1707

WEBSITE: HTTP://www.wnlaw.com

press Mailing Label No. EV 382 938 086 US

PATENT APPLICATION Docket No: 13447.9.2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of	of:)
	Daniel E. Gerbec et al.)
Serial No:	10/651,138) Art Unit
Filed:	August 28, 2003) 3732
Confirmation No.	: 8182)
For:	METHODS FOR ADJUSTABLE BONE FUSION IMPLANTS))
Examiner:	David A. Bonderer	RECEIVED NOV 0 4 2004
	TRANSMITTAL OF ISSUE FEE PAYMENT	OFFICE OF PETITIONS

Mail Stop Issue Fee Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Notice of Allowance and Fee(s) Due dated September 24, 2004, please find enclosed herewith the following items for filing in the United States Patent and Trademark Office in connection with the above identified patent application:

- X Fee(s) Transmittal (PTOL-85) submitted pursuant to 37 C.F.R. § 1.311.
- A Supplemental Declaration executed by the inventor(s) is submitted pursuant to 37 C.F.R. § 1.67.
- X A Certificate of Express Mail under 37 C.F.R. § 1.10 is enclosed.

[†] Admitted only in New York

	Pursuant to the request for submission of formal drawings, enclosed are the following:		
	A Letter to the Official Draftsperson;		
	A set of () sheets of formal drawings to replace the corresponding drawings originally filed with the subject application.		
	A duplicate set of the () sheets of formal drawings with the changes therein highlighted in red.		
<u>X</u>	Petition to Excuse Error in Small Entity Status is enclosed.		
<u>X</u>	Form PTO-2038 submitting Credit Card Payment in the amount of \$72.00 is enclosed to cover the fee deficiency for the Petition to Excuse Error.		
<u>X</u>	Form PTO-2038 submitting Credit Card Payment in the amount of \$1,715.00 is enclosed to cover:		
	\underline{X} The \$1,370.00 government issue fee pursuant to 37 C.F.R. § 1.18.		
	\underline{X} The \$300.00 government publication fee pursuant to 37 C.F.R. § 1.18.(d)		
	X The \$45.00 fee for fifteen (15) copies of the patent when issued.		
<u>X</u>	The Commission is hereby authorized to charge payment of any additional fees or credit any overpayment to Deposit Account No. 23-3178.		
<u>X</u>	A duplicate copy of this letter is enclosed.		
	se address all future correspondence in connection with the above-identified patent o the attention of the undersigned.		

Dated this 22nd day of October 2004.

Respectfully submitted,

DANA L. TANGREN Attorney for Applicant Registration No. 37,246

Customer No. 022913

Telephone No. 801.533.9800

PATENT APPLICATION Docket No: 13447.9.2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)
	Daniel E. Gerbec et al.)
Serial No:	10/651,138) Art Unit) 3732
Filed:	August 28, 2003) 3/32
Confirmation No.:	8182	RECEIVED
For:	METHODS FOR ADJUSTABLE BONE FUSION IMPLANTS	RECEIVED NOV 0 4 2004 OFFICE OF PETITIONS
Examiner:	David A. Bonderer) STRINGE OF PETITIONS

CERTIFICATE OF EXPRESS MAIL UNDER 37 C.F.R. § 1.10

I hereby certify that following documents are being deposited with the United States Postal Service as Express Mail, postage prepaid, in an envelope addressed to: Box: Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 22nd day of October 2004.

- Transmittal of Issue Fee (2 pages) (in duplicate)
- PTO Form PTOL-85 transmitting payment of Issue Fee and Publication Fee
- Form PTO-2038 submitting Credit Card Payment in the amount of \$1,715
- Petition to Excuse Error in Small Entity Status (2 pages) (in duplicate)
- Form PTO-2038 submitting Credit Card Payment in the amount of \$72
- Postcard

Respectfully submitted,

DANA L. TANGREN Attorney for Applicant

Registration No. 37,246

Customer No. 022913

Telephone No. 801.533.9800

DLT:dfw DFW0000011878V001